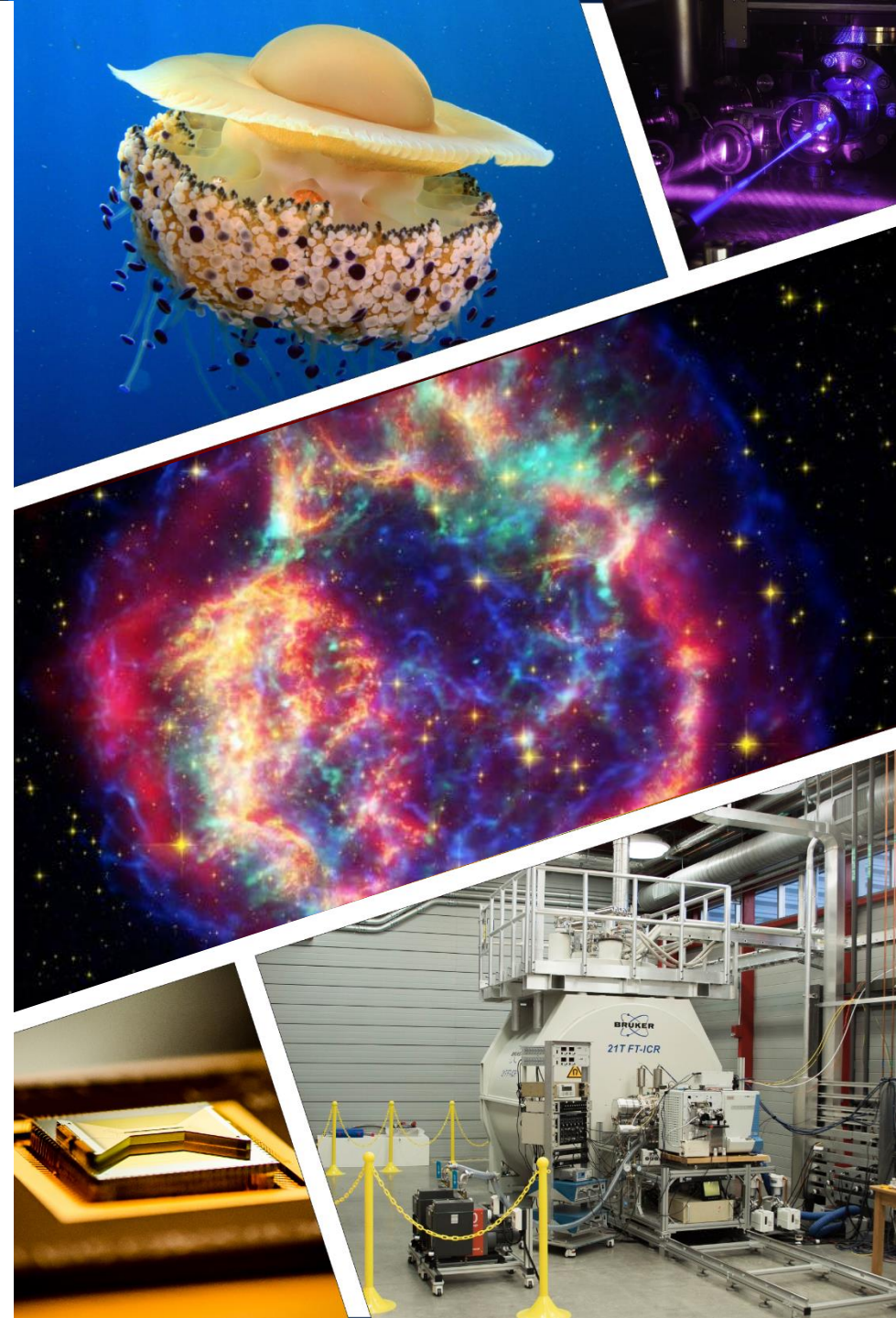




U.S. National  
Science Foundation

**Managing Your NSF Award:  
Best Practices for Principal Investigators  
October 9, 2026**



# OFFICE OF AWARD MANAGEMENT (OAM)

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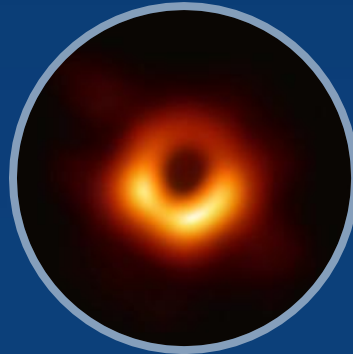
# NSF-Powered Innovations



**FOUNDATION FOR  
THE INTERNET**



**3-D PRINTING  
BREAKTHROUGH**



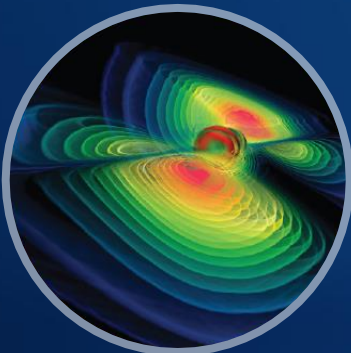
**FIRST IMAGE OF  
A BLACK HOLE**



**RAPID COVID-19  
TESTING**



**CLIMATE  
FORECASTING**



**LIGO  
GRAVITATIONAL  
WAVES**



**COMPUTER CHIP  
FABRICATION**



**ARTIFICIAL  
INTELLIGENCE  
(AI)**



**EARLY WEB  
SEARCH**



**MAGIC  
SCHOOL BUS**

# Mission History



# OAM Impact: By the Numbers

## In a Fiscal Year, OAM Processes about:

- 17,000 funded actions including over 11,000 new projects
- Over 5,000 post award amendment
- Over 500 PI transfers
- OAM Grants Office manages almost 50,000 active awards, on average about 3,000 per Grants Officer



# Understanding Roles and Responsibilities

- **NSF Program Offices**
- **Office of Awards Management**
- **The Grantee Institution/Awardee**



# NSF Program Office Responsibilities

**Solicitation  
Development**

**Proposal Reviews &  
Recommendations**

**Programmatic &  
Technical Oversight**



# NSF OAM - Grants Office Responsibilities

**Reviews Recommended  
Proposals**

**Issues Awards and  
Amendments to  
Grants & Agreements**

**Manages the  
Administration of  
Grants**



# Grantee institution (Awardee) Responsibilities

**NSF's legal relationship is with YOU - the grantee institution**

**Responsible for adhering to the terms and conditions of an NSF award & applicable federal requirements.**

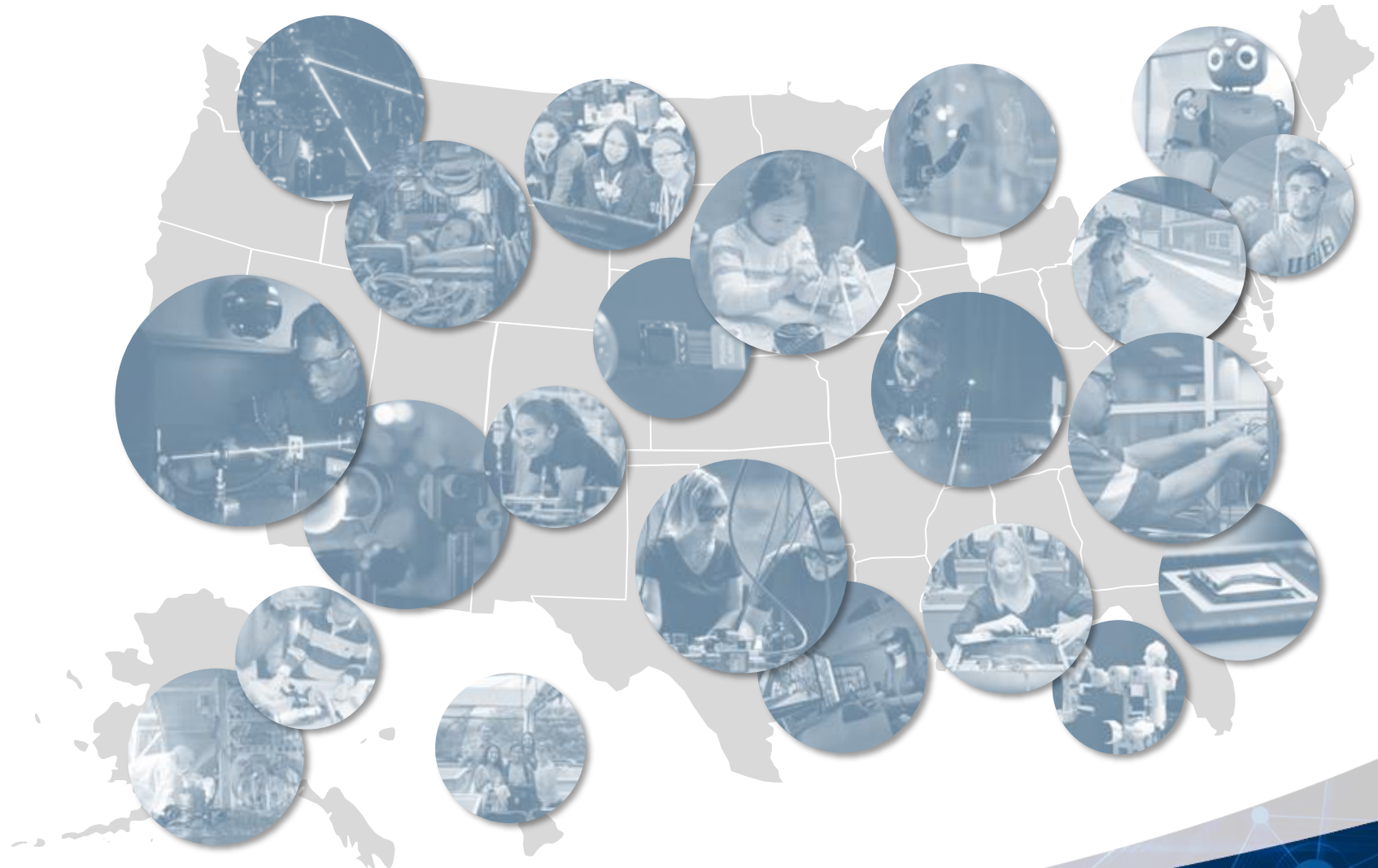
**Oversees the administrative and financial management of the award.**



# I've received the award.. Now What?!

**Award Notice is the agreement**

- **No signatures are required**
- **Drawing down \$1 is the execution and binding agreement between the two parties**
- **Reimbursement: Incur costs then drawdown funding through ACM\$**
- **(NSF Grant Accountant List provided at end of slides**



# Your First Steps After Award Notification.

- **Review the Notice of Award:**  
Familiarize yourself with the award notification, including the approved scope, award type, budget, duration, and terms and conditions.
- **Engage With Your Research Administration Team:**  
Work with your Department's administrator and Sponsored Program contacts; understand their roles and responsibilities.
- **Understand Your Award Budget:**  
Work with your Sponsored Research Office to review your approved budget, allowable costs, and spending requirements
- **Review Reporting Requirements:**  
Determine reporting deadlines, compliance obligations, and necessary approvals before making any project modifications.



# Award Notice and Terms and Conditions

**NATIONAL SCIENCE FOUNDATION**

Award Notice

**Award Number (FAIN):** 2032835  
**Managing Division Abbreviation:** DUE **Amendment Number:** 000

**AWARDEE INFORMATION**

**Award Recipient:** [REDACTED]  
**Awardee Address:** [REDACTED]  
**Official Awardee Email Address:** [REDACTED]  
**Unique Entity Identifier (DUNS ID):** [REDACTED]

**AMENDMENT INFORMATION**

**Amendment Type:** New Project  
**Amendment Date:** 08/18/2020  
**Amendment Number:** 000  
**Proposal Number:** 2032835  
**Amendment Description:**

The National Science Foundation hereby awards a Standard Grant for support of the project described in the proposal referenced above as modified by revised budget dated 07/21/2020.

Funds provided for participant support may not be diverted by the awardee to other categories of expense without the prior written approval of the cognizant NSF Program Officer. Since participant support cost is not a normal account classification, the awardee organization must be able to separately identify participant support costs. It is highly recommended that separate accounts, sub-accounts, sub-task, or sub-ledgers be established to accumulate these costs. The awardee should have written policies and procedures to segregate participant support costs.

It is the grantee's responsibility to ensure that any human subjects work conducted under this award has an Institutional Review Board (IRB) approval, where required, and that such approval remains valid at all times that human subjects work is conducted under the award. Failure to comply with this condition will result in suspension and/or termination of the award.

**AWARD INFORMATION**

**Award Number (FAIN):** 2032835  
**Award Instrument:** Standard Grant  
**Award Date:** 08/18/2020  
**Award Period of Performance:** Start Date: 09/01/2020 End Date: 08/31/2023  
**Project Title:** Mentor Up: Supporting Preparation of Competitive Proposals to Improve Education of

\*Indirect Cost Rates

| Item Name | Indirect Cost Rate |
|-----------|--------------------|
| Salaries  | 22.2300%           |

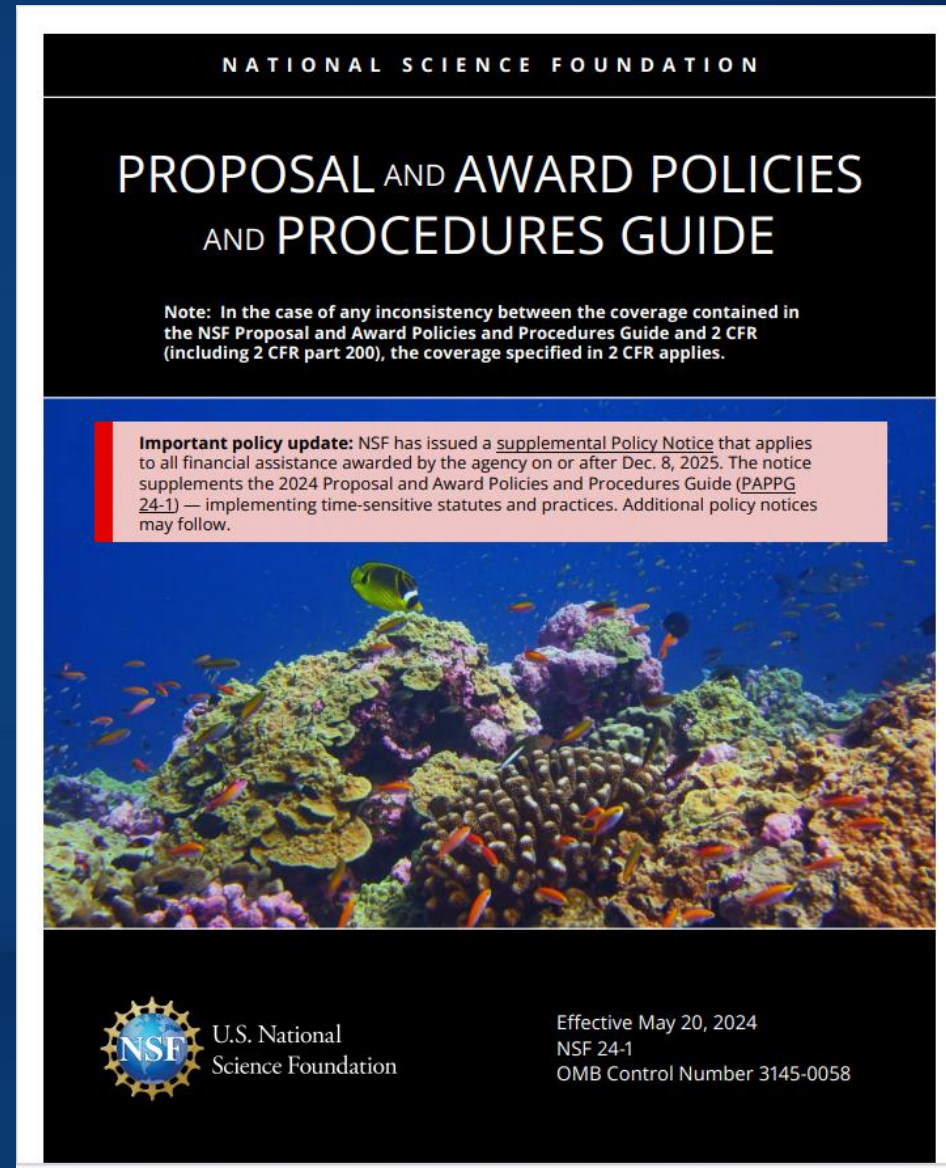
These rates are at the time of award and are based upon the budget submitted to the NSF. It does not include any out-year adjustments. The NSF will not modify awards simply to correct indirect cost rates cited in the award notice. See the Proposal & Award Policies & Procedures Guide (PAPPG) Chapter X.A.3.a. for guidance on re-budgeting authority.

**NSF CONTACT INFORMATION**

|                                                                                                                    |                                                                                           |                                                                                                           |
|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| <b>Managing Grants Official (Primary Contact)</b><br><b>Name:</b> Jannele L. Gosey<br><b>Email:</b> jgosey@nsf.gov | <b>Awarding Official</b><br><b>Name:</b> Jannele L. Gosey<br><b>Email:</b> jgosey@nsf.gov | <b>Managing Program Officer</b><br><b>Name:</b> Virginia Celeste Carter<br><b>Email:</b> vccarter@nsf.gov |
|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|



# Post Award Management



# Prior Approvals

*NSF Approvals needed for:*

- NSF approved No cost Extensions
- Significant Changes in Project Scope or Objectives
- Subawarding/Transferring part of an award
- PI/Co-PI Changes
- Rebudgeting: You do not need NSF prior approval to re-budget line items *except* for Participant Support Costs or if the change could be deemed a change in scope as stated above



# PARTICIPANT SUPPORT COSTS



## Unique Cost

PSC is a unique cost category to NSF exempt from Indirect Cost Charges



## Re-Budgeting

Re-Budgeting is restricted, needs prior approval by NSF.



## Costs for Trainees

Costs must be for students and trainees but not employees of the institution except for school districts.



## Incentive Payments

Incentive payments/souvenirs/t-shirts in some cases allowed if related to project activities



| Type                                                                                                                                                                | Notification or Request | Policy Reference                        | Location     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|-----------------------------------------|--------------|
| Budget Activities                                                                                                                                                   |                         |                                         |              |
| Additional categories of participant support costs other than those described in 2 CFR § 200.75 (such as incentives, gifts, souvenirs, t-shirts and/or memorabilia) | Request                 | <a href="#">PAPPG (II.C.2.g(v))</a>     | Research.gov |
| Change in Person-Months Devoted to Project                                                                                                                          | Request                 | <a href="#">PAPPG (VII.B.2.b)</a>       | Research.gov |
| Pre-award Costs in Excess of 90 days                                                                                                                                | Request                 | <a href="#">PAPPG (X.A.2.b)</a>         | Research.gov |
| Reallocation of Funds Provided for Participant Support Costs                                                                                                        | Request                 | <a href="#">PAPPG (II.C.2.g(v))</a>     | Research.gov |
| Rearrangement/Reconversion costs in excess of \$25,000                                                                                                              | Request                 | <a href="#">PAPPG (X.C.1)</a>           | Research.gov |
| Salaries of Administrative or Clerical Staff                                                                                                                        | Request                 | <a href="#">PAPPG (II.C.2.g(i)(b))</a>  | Research.gov |
| Travel Costs for Dependents                                                                                                                                         | Request                 | <a href="#">PAPPG (II.C.2.g(iv)(a))</a> | Research.gov |

|                                                                                                                                                                                                       |              |                                                                            |              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------------------------------------------------------------------------|--------------|
| Long-Term Disengagement of the PI/Project Director (PD) or co-PI/co-PD                                                                                                                                | Request      | <a href="#">PAPPG (VII.B.2.a)</a>                                          | Research.gov |
| PI/PD or co-PI/co-PD Transfer from one organization to another                                                                                                                                        | Request      | <a href="#">PAPPG (VII.B.2.f)</a>                                          | FastLane     |
| Substitute (Change) PI/PD or co-PI/co-PD                                                                                                                                                              | Request      | <a href="#">PAPPG (VII.B.2.e)</a>                                          | FastLane     |
| Withdrawal of PI/PD or co-PI/co-PD                                                                                                                                                                    | Request      | <a href="#">PAPPG (VII.B.2.d)</a>                                          | Research.gov |
| <b>Other</b>                                                                                                                                                                                          |              |                                                                            |              |
| Annual and Final Cost Share Notification by Recipient                                                                                                                                                 | Notification | <a href="#">PAPPG (VII.D.5)</a>                                            | FastLane     |
| Conflicts of Interest that cannot be satisfactorily managed, reduced or eliminated and research that proceeds without the imposition of conditions or restrictions when a conflict of interest exists | Notification | <a href="#">PAPPG (IX.A)</a>                                               | Research.gov |
| Subawarding, Transferring or Contracting Out Part of an NSF Award                                                                                                                                     | Request      | <a href="#">PAPPG (II.C.2.g(vi)(e))</a><br><a href="#">PAPPG (VII.B.3)</a> | FastLane     |
|                                                                                                                                                                                                       |              |                                                                            |              |

| No-Cost Extension                                                         |              |                         |              |
|---------------------------------------------------------------------------|--------------|-------------------------|--------------|
| Grantee-Approved No-Cost Extension                                        | Notification | PAPPG (VI.D.3.c(i))     | Research.gov |
| First NSF-Approved No-Cost Extension                                      | Request      | PAPPG (VI.D.3.c(ii)(a)) | Research.gov |
| Second NSF-Approved No-Cost Extension                                     | Request      | PAPPG (VI.D.3.c(ii)(b)) | Research.gov |
| Changes in Objectives, Scope, or Methodology or other Significant Changes |              |                         |              |
| Changes in Objectives or Scope                                            | Request      | PAPPG (VII.B.1.a)       | Research.gov |
| Significant Changes/Delays or Events of Unusual Interest                  | Notification | PAPPG (VII.B.1.c)       | Research.gov |
| Significant Changes in Methods/Procedures                                 | Notification | PAPPG (VII.B.1.b)       | Research.gov |

## NO COST EXTENSIONS

### Grantee-Approved NCE:

- Must be submitted 10 days prior to the award end date. No NSF amendment letter is issued.

## First NSF-Approved NCE:

- Must be submitted at least 45 days prior to the award end date.
- Reviewed and approved by NSF Program Officer. Approval letter issued.

## Second NSF-Approved NCE:

- Reviewed and approved by both NSF Program Officer and Grants Officer. Award amendment is issued by the OAM.



# NO COST EXTENSIONS

## Question:

Can I request a No-Cost Extension when there is an overdue project report?

## Answer:

**X** No- all overdue reports must be submitted before requesting an NCE.

## Question:

Can I request a No-Cost Extension when there are no remaining funds to support the project activities.

## Answer:

**X** No\* - an NCE cannot be considered when the unliquidated balance on the award is \$0 (rare exceptions).



# Annual Progress Reports

Due 90 days prior to the end of the current budget period.

Failure to submit (approved by NSF Program Officer) annual reports timely will prohibit any pending administrative requests or proposals for approval.



# Project Outcomes Reports

Due 120 days after the end of the grant period.

Submitting the POR signifies the scope of work for the project has been completed and anticipates any further research activities (no-cost extensions, supplemental funding or transfer of the grant).



# Links to Resources

- **NSF's Grant Management and Policy Manual**

NSF PAPPG - May 20, 2024

- **Post awards Requests**

Notifications and requests communicate changes in the scope, time, staff or budget of an NSF funded project. Depending on the type of change, recipient organizations must notify or request approval from NSF prior to taking action. Depending on the type of notifications or requests, they can be created and submitted by either the Principal Investigators (PIs) and/or Sponsored Project Offices (SPOs).

Research.gov - About Notifications and Requests

- **Division of Financial Management(DFM)**

Payments and Analytics Branch FAQs link (ACM\$ Drawdowns handled through DFM). This is the link to the division managing the drawdown of funds.

[https://www.nsf.gov/bfa/dfm/docs/DFM\\_PABGrantFAQ.pdf](https://www.nsf.gov/bfa/dfm/docs/DFM_PABGrantFAQ.pdf)

- **NSF Grant Accountant List (By State)**

DFM - Payments and Analytics Branch (PAB) | NSF - National Science Foundation

NSF Updates Sign Up: [National Science Foundation](#)



# ASK EARLY, ASK OFTEN

